

AML/BSA 2-Day School Agenda February 16-17, 2022

Wednesday, February 16

Payments, Proposals, and COVID Fraud Update 9:00 – 10:30

Culture Of Compliance

Statutory and Regulatory Requirements

Break 10:30 – 10:45

Latest on AMLA 2020 Implementation 10:45 – 12:00

Enforcement Actions, MRIA's, and Lessons Learned

Acronym Review and Sources of Information

Lunch 12:00 – 1:00

Currency Transaction Reporting (CTR) 1:00 – 2:30

Exemptions from CTR Reporting

Break 2:30 – 2:45

OFAC Compliance and the SCP components 2:45 – 4:00

Title III – USA PATRIOT Act – Remaining Focus Points

Recordkeeping Requirements

Thursday, February 17

Customer Due Diligence (CDD) – Beneficial Ownership 9:00 – 10:00

Break 10:00 – 10:15

Customer Due Diligence – Risk Profile, Maintain and Update 10:15 – 12:00

Customer Information, & Federal lists of High-Risk Customers

Lunch 12:00 – 1:00

Suspicious Activity Reporting 1:00 – 2:30

Break 2:30 – 2:45

Current BSA Exam Update 2:45 – 4:00

Institutional Risk Assessments and Federal List of High-Risk
Products, Services, and Geographies.