

Certified Regulatory Vendor Program Manager

On October 30, 2013, the OCC issued updated guidance for national banks and federal savings associations on assessing and managing risks associated with third-party relationships. On December 5, 2013, the Federal Reserve issued similar guidance for institutions it supervises. This increased regulatory focus on third-party oversight has created a growing demand for knowledgeable professionals with specialized expertise in building and managing compliant Vendor Management Programs.

ABOUT

The Certified Regulatory Vendor Program Manager (CRVPM) course offered by RISC Associates/Compliance Education Institute provides bankers with the regulatory knowledge, methodology and best practices to build and manage a compliant third-party oversight program that meets FFIEC Guidance and GLBA 501(b) requirements. Combining both audio and video, this self-paced online course will help prepare those tasked with vendor management for implementing and managing a compliant program as well as enhancing existing programs and preparing for exams and audits. Completion of the course certifies the user as a Certified Regulatory Vendor Program Manager.

Web-based eLearning System	Self-paced
Time Required	Approximately 5-6 hours
CEP Eligible	4 Credits (through RISC Associates)
Cost	\$499 per enrollment
Includes	<ul style="list-style-type: none">Regulatory Vendor Program Manager Reference Manual(sent on completion of course)Click here to see a sample of the manual
Registration	Enrollment Form Attached (see page 3)

A REGULATORY VENDOR PROGRAM MANAGER CERTIFICATION ACHIEVES THE FOLLOWING:

- Become recognized as an expert in your field
- Increases your value to the institution
- Shows examiners and auditors the institution's commitment to regulatory compliance
- Demonstrates that you have attained an advanced level of regulatory knowledge

TESTIMONIALS FROM BANKERS WHO HAVE COMPLETED THE CERTIFIED REGULATORY VENDOR PROGRAM MANAGER COURSE:

- *A must for anyone involved in vendor management!* – Amy Moran, Vendor Management Specialist, Five Star Bank, NY
- *This course is something that bankers as well as examiners should be taking.* – Kia Ware, SVP CIO, Eastern Virginia Bankshares, VA
- *This course provided many great takeaways...as well as provided confirmation that we are definitely on the right track!* – Dana Bliss, Vendor Management Specialist, Chemical Bank, MI

WHO SHOULD TAKE THIS COURSE

Compliance Officers, Risk Officers, Auditors, Operations Officers, Info Security Officers, IT Officers, Examiners and anyone tasked with managing a vendor management program.

COURSE CONTENT

There are seven areas of study, each followed by a quiz and then a 50 question final exam. Participants will receive their Regulatory Vendor Program Manager Certification upon receiving a score of 70 or higher on each of the seven quizzes and a score of 80 or higher on the final exam.

- Historical Perspective: events leading up to the Gramm-Leach-Bliley Act
- Regulations: review of various federal regulations pertaining to physical, technical and administrative safeguards and identity theft prevention
- Why Comply: benefits and penalties
- Program Components: the nine key components of a GLBA 501(b) compliant vendor management program
- Implementation: steps to take for successful implementation of a new program or enhancement of an existing one
- Exam and Audit Prep: reports and documentation that you should be prepared to provide to an examiner or an auditor, including issues related to cloud computing
- Best Practices: steps and techniques employed that have produced the best results when building and managing a compliant regulatory vendor management program

IF A 6 HOUR CERTIFICATION COURSE IS MORE THAN YOU NEED AT THIS POINT, THE VBA HAS ALSO PARTNERED WITH RISC ASSOCIATES TO OFFER TWO ADDITIONAL PROGRAMS:

- *Vendor Management Essentials* is a 2 hour course that has an optional quiz at the end of each of the 4 sections for self-evaluation.
- *Vendor Management: The Big Picture* is a 60 minute webinar on the basics of vendor management.

R.I.S.C. Associates/Compliance Education Institute (CEI) - CEI is the education division of RISC Associates, a leading regulatory compliance consultancy with deep expertise in GLBA 501(b). Leveraging its more than 30 years of banking, compliance and information security expertise and field experience, RISC has put together a series of educational courses offered through its CEI division to help bankers better prepare for the compliance issues that they deal with on a daily basis.

Questions: Contact Courtney Fleming at cfleming@vabankers.org or (804) 819-4748.

EDUCATION & TRAINING

VIRGINIA BANKERS
ASSOCIATION

Certified Regulatory Vendor Program Manager

ENROLLMENT FORM

Please complete the form below and email to cfleming@vabankers.org. You'll receive an e-mail confirmation of your enrollment. If you have any questions, please contact Courtney Fleming at cfleming@vabankers.org.

Full Name _____

Title _____

Email _____

Bank Name _____

Mailing Address _____

City/State/Zip _____

Billing Name & Address (if different from above) _____

Phone/Fax _____ / _____

Once your registration is processed you will receive an email message from RISC Associates with further instructions for payment and enrollment. Please contact Courtney Fleming at cfleming@vabankers.org if you do not receive an email within 5 business days.

VIRGINIA BANKERS
ASSOCIATION